

Advancing Science & Practice in the Retail Environment

**Tobacco Town:** 

# A computational model for exploring environmental effects of retail tobacco control policies

Douglas Luke (& Todd Combs, Ross Hammond) | June 7, 2021



STANFORD PREVENTION RESEARCH CENTER the science of healthy living Washington University in St.Louis



**DUNC** 

GILLINGS SCHOOL OF GLOBAL PUBLIC HEALTH

# Goals

- The case for *retail* tobacco control policies
- The case for ABMs in tobacco control science
- Tobacco Town agent-based model
  - How it works
  - What we are learning



Retailer density in Edinburgh – from Shortt et al., 2014, Tobacco Control.



# **ASPiRE**

- Goal: to build a rigorous, scientific evidence base for effective tobacco control in the retail environment to reduce the public health burdens of tobacco use
- 3 research projects
- 3 support cores
  - Administrative core
  - Data core
  - D&Icore



# Tobacco Retail Environment

Developing evidence-based policies focusing on where tobacco products are sold



# We know what works

# 5 **retailer-focused strategies** act as a Vaccine Booster

- Product Availability
- Pricing & Promotion
- Age of Sale
- Advertising & Display
- Retail Licensure

Source: Kong AY, King BA. (2020). Tobacco Control.



## Tobacco retailers are ubiquitous

#### In 2020, there were **27 tobacco retailers** for every **1 McDonald's** in the US







Source: https://www.cdc.gov/statesystem/factsheets/licensure/Licensure.html

## Many types of retailers sell tobacco



Warehouse



Tobacco



Pharmacy



Discount



Grocery



Alcohol



Gas/Convenience

### Health equity: Restrict location, undo disparities

Nicotine & Tobacco Research, 2017, 239–244 doi:10.1093/ntr/ntw185 Original investigation Advance Access publication August 26, 2016

**Original investigation** 

#### Reducing Disparities in Tobacco Retailer Density by Banning Tobacco Product Sales Near Schools

Kurt M. Ribisl PhD<sup>1,2</sup>, Douglas A. Luke PhD<sup>3</sup>, Doneisha L. Bohannon MPH<sup>3</sup>, Amy A. Sorg MPH<sup>3</sup>, Sarah Moreland-Russell PhD<sup>3</sup>













Figure 1. Pre- and post-ban tobacco retailer density in New York by census tract income and racial/ethnic composition.

Figure 2. Pre- and post-ban tobacco retailer density in Missouri by census tract income and racial/ethnic composition.

#### Slide 8

MJ7 Doug, I put this as a placeholder mostly -- do you plan to mention this research of yours? I think it's a great one to share! Maria Julian, 8/11/2020

# **Retail tobacco policies**

- Examples
  - Tobacco retail license
  - License cap
  - Retailer buffer
  - Restrict product availability
  - Tobacco 21



# **Retail tobacco policies**

- Examples
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- Outcomes
  - Increased distance to retailer
  - Increased distance to product
  - Increased time to retailer
  - Increased costs
  - Reduced exposure
  - Reduced purchase opportunities



# **Agent-based Models**

Powerful tools to explore behavioral dynamics within complex systems



## What is an ABM?

- A bottom-up simulation approach that is used to study complex systems by exploring how individual elements (agents) of a system behave as a function of their characteristics and interactions with each other and the environment.
- Emphasizes
  - Heterogeneity
  - Environments that are physical or social
  - Emergent behavior
- Mechanistic view
  - 'Don't understand it if you can't build it'



https://www.bankofengland.co.uk/quarterly-bulletin/2016/q4/agent-based-models-understanding-the-economy-from-the-bottom-up

### Computational modeling to solve real-world problems



Borshchev, A., & Filippov, A. (2004, July). From system dynamics and discrete event to practical agent based modeling: reasons, techniques, tools. In *Proceedings of the 22nd international conference of the system dynamics society* (Vol. 22).

# Building an ABM - PARTE system

- Agent Properties
- Agent Actions
- Agent Rules
- Time
- Environment



FIGURE A-1 PARTE framework.

Hammond, R. (2015) IOM Report - Assessing the Use of Agent Based Models for Tobacco Regulation

# 1 + 16 reasons to do complex systems modeling

- Prediction
- Other reasons
  - Explain
  - Guide data collection
  - Illuminate core dynamics
  - Suggest dynamical analogies
  - Discover new questions
  - Promote scientific habit of mind
  - Bound outcomes to plausible ranges
  - Illuminate core uncertainties
  - Offer crisis options in near-real time
  - Demonstrate tradeoffs

From Epstein, 2008; *Why Model?* http://www.santafe.edu/media/workingpapers/08-09-040.pdf



- Challenge robustness of prevailing theory
- Expose prevailing wisdom as incompatible with available data
- Train practitioners
- Discipline the policy dialogue
- Educate the public
- Reveal the simple to be complex, and vice versa

### ABMs in public health – moving beyond infectious disease

- Longest history of ABMs in public health is in the modeling of infectious diseases
  - Large-scale models (often using synthetic populations of entire nations or even the planet)
  - Used by policymakers, federal governments, industry
- Examples
  - <u>http://www.epimodels.org/</u>
  - o <u>http://fred.publichealth.pitt.edu/</u>
  - o <u>https://www.youtube.com/watch?v=ECJ2DdPhMxI</u>
  - o <u>https://mattbierbaum.github.io/zombies-usa/</u>
- More recent ABM applications in:
  - Chronic disease (e.g., Walking School Bus, food behaviors)
  - Public health policy (Tobacco Town, violence prevention)
  - Implementation science



# Usefulness of ABM for tobacco control

- Use computational models when we cannot use real-world experiments
  - Unethical to experiment on communities to study retail tobacco policy effects
- Introduce change (shock) and examine changes in behavior & environment
  - Restricting menthol sales or prohibiting coupons

- Can expose gaps in existing data or surveillance systems
  - How far are people willing to travel to purchase cigarettes?
- Results of computational models are improved when based on data and scientific evidence
  - For example, PATH, BRFSS, PUMS (Census)



Hammond RA. Complex systems modeling for obesity research. Prev Chronic Dis. 2009;6(3):A97.

# Tobacco Town

Using agent-based modeling as a policy laboratory in tobacco control

R21 CA172938 - NCI U01 CA154281 - NCI P01 CA225597 - NCI (With Ross Hammond; Kurt Ribisl, UNC; Lisa Henriksen, Stanford)



# Tobacco Town - History

TT#1

#### Tobacco Town 1 (2012-2015)

- Abstracted retailer density model
- 4 town types: poor/rich by suburban/urban

#### Tobacco Town – Minnesota (2016-2018)

- Focus on Minnesota policy considerations (esp. Menthol)
- Added rural town types, all based on representative Minnesota localities

#### Tobacco Town – ASPiRE (2018-2023)

- Added retailer dynamics, specific tobacco products
- Building models using synthetic populations for 30 large cities



# ASPiRE Tobacco Town

### • Aims

 Build a series of *simulation models* to identify interactions between the retail environment for tobacco and purchase and use behaviors



- Use the models as *policy laboratories* to explore potential impact of various retail policies across contexts and populations
- Work with CAB members to *tailor models to cities*, test the likely impact of prioritized policies and disseminate results to stakeholders





# What's new in ASPiRE Tobacco Town

- Adding more policies
  - Pricing
    - Minimum price (price floor)
    - $\,\circ\,$  Prohibiting discounts & coupons
  - Finer detail for density reduction policies
    - Cap & winnow number of retailers by neighborhood or ward

- Incorporating real geography
  - Streets, natural boundaries, routes
- Incorporating real sociodemographics
  - Reflecting truer neighborhood characteristics



# Example policies in Tobacco Town

#### **BUILT ENVIRONMENT**

#### Pre

#### **Policy Application**



Tobacco Retailer

#### Place

- Cap total number of licenses
- Restrict sales to tobacco shops
- Require minimum distance
  between retailers

Post



Tobacco Retailer

# Example policies in Tobacco Town

#### CONSUMER ENVIRONMENT

#### Pre

#### **Policy Application**

# Image: Second second

#### Price

- Establish minimum price and packaging laws
- Ban price discounting
- Ban coupon redemption

#### **Product availability**

 Restrict flavored products and menthol

#### Post



# **Building blocks of Tobacco Town**

- Empirical data:
  - Population and demographics
  - Smoking characteristics
  - Retailers (location & type)
  - Cigarette prices
- Economic and public health literature/theories:
  - Decision-making
  - Price sensitivities
  - Travel and purchasing



24

# Using real demographics to build populations



# Using real geography to map daily routes





- Home
- Retailer
- Workplace



# Using real geography to map daily routes





- Home
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# Using real geography to map daily routes





- Home
- Retailer
- Workplace



# What is happening under the hood?





https://tobaccotown.shinyapps.io/Minnesota/

# What Are We Learning?

- 1) Density reduction effects are non-linear
- 2) Strong policies, and multiple policies have larger effects
- 3) Policy effects are community-specific
- 4) Policies have different potential for affecting disparities & behavior
- 5) Density and proximity are not the same thing



# Density reduction may need to reach threshold before effects are seen





Luke, D. A., Hammond, R. A., Combs, T., Sorg, A., Kasman, M., Mack-Crane, A., ... & Henriksen, L. (2017). Tobacco town: computational modeling of policy options to reduce tobacco retailer density. *American journal of public health*, *107*(5), 740-746.

# Policy effects depend on context

- No 'one-size-fits-all' policy
- Layering of policies may help remove community disparities

Tobacco retailer density before & after policies						
Baseline	Urban Iow-income 9.5/mi <sup>2</sup>	Suburban low-income 2.3/mi <sup>2</sup>	Rural low-income 2.1 /mi <sup>2</sup>	Urban high-income 3.5/mi <sup>2</sup>	Suburban high-income 1.6/mi <sup>2</sup>	Rural high-income 1.6/mi <sup>2</sup>
NO pharmacy sales	9/mi <sup>2</sup>	2/mi <sup>2</sup>	1.9/mi <sup>2</sup>	3.2/mi <sup>2</sup>	1.3/mi <sup>2</sup>	1.4/mi <sup>2</sup>
NO pharmacy sales + Retailer-to-retailer buffer: 2000ft	2.6/mi <sup>2</sup>	1.4/mi <sup>2</sup>	1.3/mi <sup>2</sup>	1.9/mi <sup>2</sup>	1/mi <sup>2</sup>	1.1/mi <sup>2</sup>
Retailer-to-retailer buffer: 1000ft	5.6/mi <sup>2</sup>	2/mi <sup>2</sup>	1.9/mi <sup>2</sup>	2.9/mi <sup>2</sup>	1.4/mi <sup>2</sup>	1.5/mi <sup>2</sup>
Retailer-to-retailer buffer: 2000ft	3.3/mi <sup>2</sup>	1.7/mi <sup>2</sup>	1.6/mi <sup>2</sup>	2.2/mi <sup>2</sup>	1.2 <b>/</b> mi <sup>2</sup>	1.3/mi <sup>2</sup>
Sales ONLY at tobacco shops	0.5/mi <sup>2</sup>	0.1/mi <sup>2</sup>	0.2/mi <sup>2</sup>	0.1/mi <sup>2</sup>	0.2/mi <sup>2</sup>	0.2/mi <sup>2</sup>
Sales ONLY at tobacco shops + Retailer-to-retailer buffer: 2000ft	0.4/mi <sup>2</sup>	0.1/mi <sup>2</sup>	0.2/mi <sup>2</sup>	0.1/mi <sup>2</sup>	0.2/mi <sup>2</sup>	0.2/mi <sup>2</sup>
Each grid represents 10 square miles						
Tobacco Town Minnesota 2018						

Tobacco Town Minnesota; https://tobaccotown.shinyapps.io/Minnesota/

# Density & Proximity – not the same

- Density reductions ≠ proximity changes
- Similar density policies ≠ similar proximity results



Avg Proximity: 0.16 mi

Avg Proximity: **0.27 mi** Avg Proximity = median distance from resident to nearest retailer

Avg Proximity: 0.45 mi

# From Models to Tools

Developing dashboard tools that can be used by community partners to explore effects of retailer reduction policies



# Tobacco Town - ASPiRE progress

Built virtual environments for each of the 30 CAB member cities
 Real-world geographies, tobacco retailer locations, synthetic populations



**ASPiRE** 

- Working with partners to identify prioritized policies for each city
- Developing dashboard to allow interactive exploration of policy effects

## **Tobacco Swamps Dashboard**

### Use this tool to...

- Look at retailer density and proximity in different cities
- Compare how different policies might affect proximity to retailers and overall density



Available at: <a href="mailto:aspirecenter.org/tobacco-swamps/">aspirecenter.org/tobacco-swamps/</a>

# Early conclusions

- Policy mechanisms (& effects) are *community specific*
- Community *engagement* has been critical for all phases o ABM development and testing
- ABMs can reveal underlying mechanisms, which may provide architecture for tailored design of policies
- Also because of the focus on mechanisms, ABMs hold critical promise for studying rise and fall of tobacco-related *disparities*





# Helpful URLs

- The ASPiRE Center: <a href="http://aspirecenter.org/">http://aspirecenter.org/</a>
- Tobacco Swamps Dashboard: <u>https://aspirecenter.org/tobacco-swamps/</u>



#### Douglas Luke

dluke@wustl.edu

#### Todd Combs

toddcombs@wustl.edu

For ASPiRE products, Laura Brossart

lbrossart@wustl.edu





# Thinking about retailer density and cost...

• We might assume...





# How does reduced density actually affect behavior?





# So, in reality...





## Some things we don't know yet...

- Consumer tobacco retailer preferences
  - When, where, & why?
- Consumer tobacco cost preferences & threshold
  - Is price or convenience more important?
  - How much is too much? (cost, distance)
- So, we are collaborating on Big City Tobacco Control (Project 2) surveys



42

# Importance of policy

- Policies are
  - social mechanisms
  - that shape environments
  - to affect behavior and health
- We use (effective) policies because of their
  - low cost
  - high reach
  - sustainability

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revised 3.16.17

# Importance of policy

- Policies are
  - social mechanisms
  - that shape environments
  - to affect behavior and health
- We use (effective) policies because of their
  - low cost
  - high reach
  - sustainability

However, we often don't know how or why certain policies work!



### Why reduce density & make cigarettes harder to get?

- We know:
  - Increasing the costs of cigarettes lowers consumption (tax)<sup>1</sup>
  - Making it harder to smoke reduces initiation & deters relapse (smoke-free air laws)<sup>2</sup>

- Levy, D, Chaloupka, F & Gitchell, J. The Effects of Tobacco Control Policies on Smoking Rates: A Tobacco Control Scorecard. *JPHMP*. 2004;10. 338-53. 10.1097/00124784-200407000-00011.
- Shang C. The effect of smoke-free air law in bars on smoking initiation and relapse among teenagers and young adults. *Int J Environ Res Public Health*. 2015;12(1):504-20. Published 2015 Jan 9. doi:10.3390/ijerph120100504

#### • Retail-focused policies aim to:

- Make products harder to get
  - $\,\circ\,$  Fewer and farther between
  - $\circ$  Prohibit certain products
- Increase costs
  - Direct: non-tax pricing policies
  - Indirect: increased travel time & distance, opportunity costs
- Reduce use
- And, can be tailored to the individual characteristics of specific communities!

















